

# vCHAIN+: OPTIMIZING VERIFIABLE BLOCKCHAIN BOOLEAN RANGE QUERIES

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## Background

- **Background:** Increasing demand to query blockchain data
- **Blockchain Database Solution:** Relay on a **trusted** Service Provider for query services

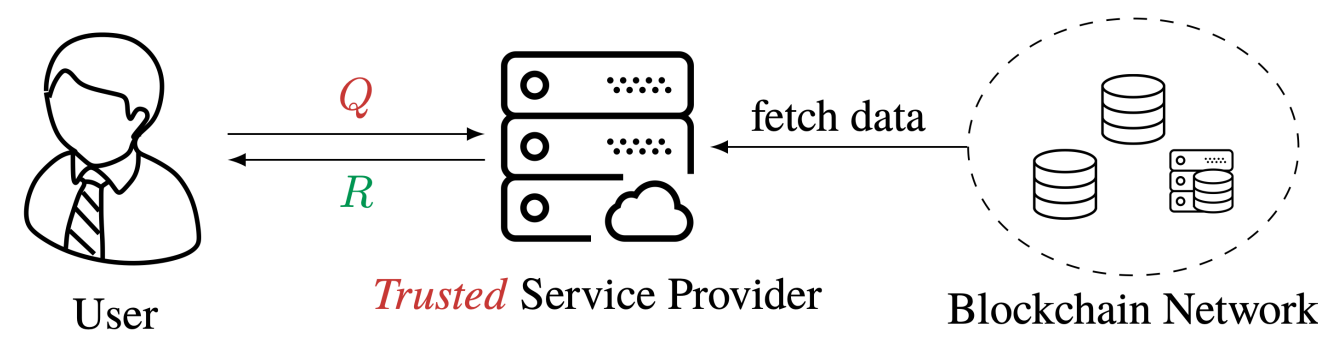


Fig. 1: Workflow of Blockchain Database

- **Issue:** The trusted assumption may not always hold
  - Return **partial result** to save transmission bandwidth
  - Return **tampered data** maliciously

## State-of-the-art: vChain

- Let users be **light nodes** and outsource queries to **full nodes** (Service Provider)
- Employ **verifiable computation** to return result and **cryptographic proof**
- System Model
  - Miners construct new block with **authenticated data structure** (ADS) embedded in block header
  - Full nodes compute query results with proof called **Verification Object** (VO)
  - Users verify the results using VO and ADS from block headers

- **Solution:** Extend the block header with an **AttDigest** which serves as the ADS
  - Use **AttDigest** to prove mismatching objects
  - Attributes of object  $o_i \rightarrow S_i$ ; Query  $q \rightarrow S_q$
  - $S_i \cap S_q \neq \emptyset$ : **return  $o_i$  as a result**
  - $S_i \cap S_q = \emptyset$ : **generate a set disjoint proof using AttDigest**

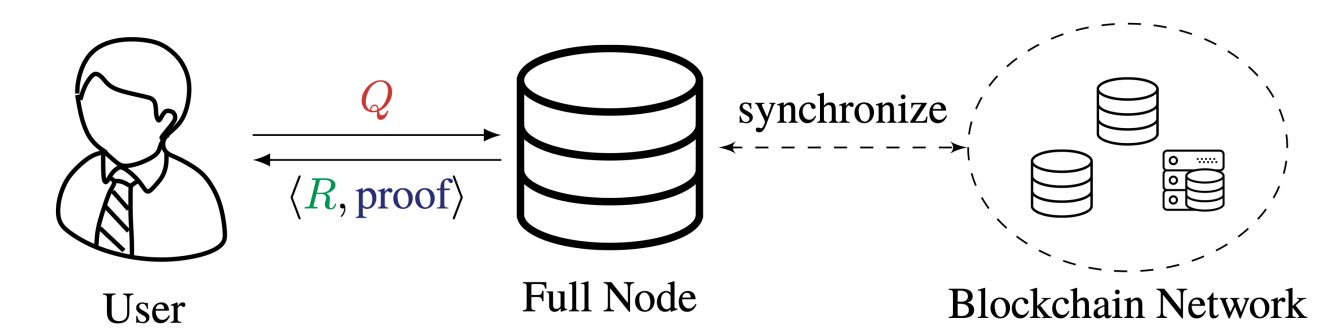


Fig. 2: System Model of vChain

## Limitations of vChain

- Query processing may require linear scan
  - Highly depend on data distribution
- Large public key size
  - The  $pk$  size of the accumulator used is  $O(|U|)$
  - Encoding attributes by 256-bit hash  $\rightarrow pk$  size =  $2^{256}$
- Limited query type
  - Only support AND ( $\wedge$ ) and OR ( $\vee$ ) operators
  - NOT ( $\neg$ ) operator not supported
  - Only support integer and fixed-point numbers

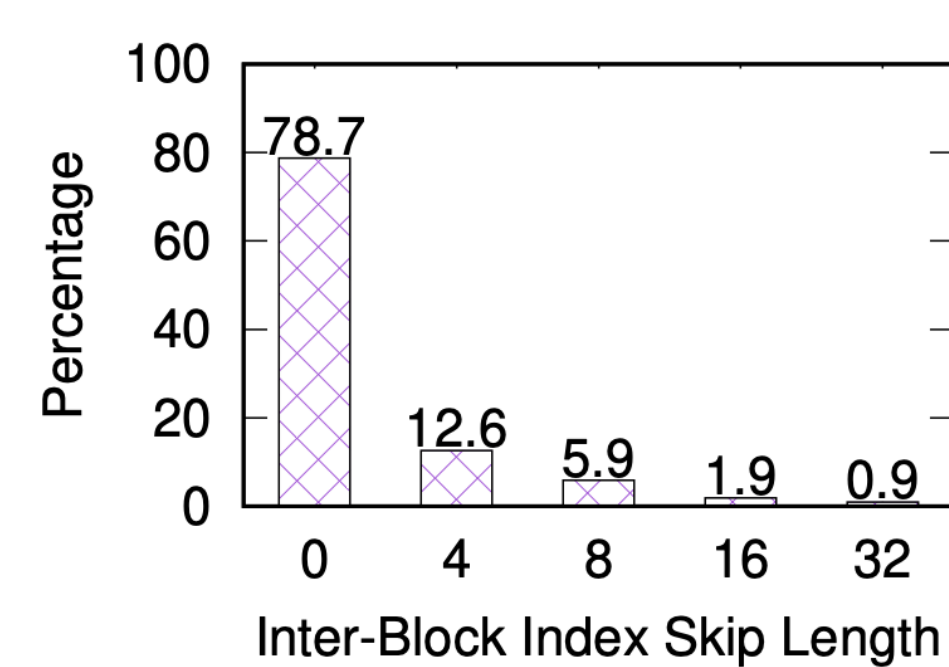


Fig. 3: Statistics of Index Utilization in vChain

## Our Solution: vChain+

- A novel design of ADS to be more **practical**, **efficient**, and **functional**
- A Sliding Window Accumulator (SWA) index for efficient and richer query processing
  - Built over data objects in **current block** and its **previous  $k - 1$  blocks** (totally  $k$  blocks)
  - $k$ : sliding window size
- An object registration (ObjReg) index for **practical public key management**
- The SWA index and ObjReg index are designed using **Merkle Hash Tree** and **Cryptographic Set Accumulator**

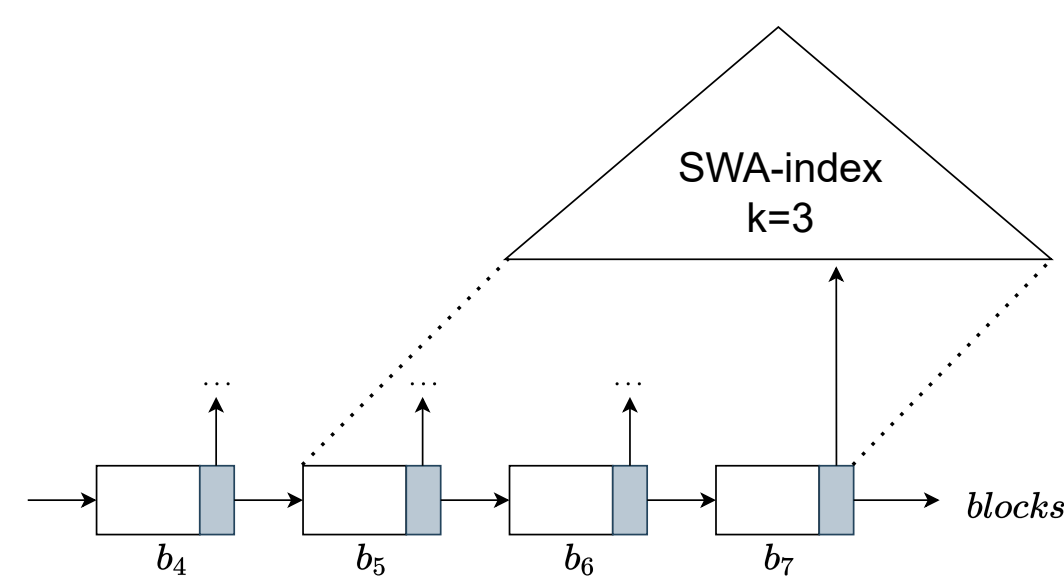


Fig. 4: SWA index Overview

## Cryptographic Building Blocks

- **Merkle Hash Tree:** Enable efficient data verification.
  - A bottom-up constructed multi-way tree.
  - Hash function combining child nodes.
  - Root hash is used to authenticate a set of data objects.
- **Example**
  - $Q = [6, 25] \rightarrow R = \{8, 20\}, \pi = \{5, 31, h_6\}$

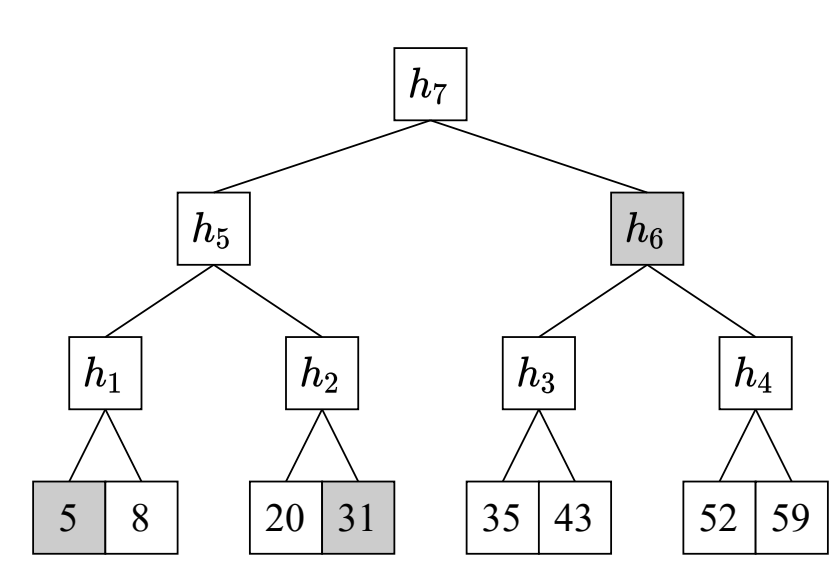


Fig. 5: Merkle Hash Tree

## Cryptographic Set Accumulator:

- Map a set to an element in cyclic multiplicative group in a collision resistant way
- Utility: prove set operations ( $\cap, \cup, \setminus$ )
  - $\text{KeyGen}(1^\lambda) \rightarrow pk$ : generate public key
  - $\text{Setup}(X, pk) \rightarrow acc(X)$ : compute accumulative value of  $X$
  - $\text{Prove}(X_1, X_2, opt, pk) \rightarrow \{R, \pi_{opt}\}$ : on input two sets  $X_1$  and  $X_2$ , and an operation  $opt \in \{\cap, \cup, \setminus\}$ , output  $R = opt(X_1, X_2)$  and a proof  $\pi_{opt}$
  - $\text{Verify}(acc(X_1), acc(X_2), opt, \pi_{opt}, acc(R), pk) \rightarrow \{0, 1\}$ : on input  $acc(X_1), acc(X_2), opt, \pi_{opt}$ , and  $acc(R)$ , output 1 iff  $R = opt(X_1, X_2)$

## Boolean Query

- Use an SWA-Trie for efficient Boolean query processing
  - $Q = \langle [t_s, t_e], \Upsilon \rangle$
  - Divided  $Q$  into sub-queries with **time window size of  $k$** 
    - $Q = [t_1, t_{10}], k = 4$
    - $q_1 = [t_1, t_4], q_2 = [t_5, t_8], q_3 = [t_9, t_{10}]$
  - Process each sub-query using **trie-search** and **verifiable set operations**
- **Example**

- Query processing
  - $q = \langle [t_1, t_4], 5e7a \wedge 5e9b \rangle$
  - locate  $T_4$  in  $b_4$  and perform trie-search on  $5e7a$  and  $5e9b$
  - $R_{5e7a} = \{o_3, o_4\}, R_{5e9b} = \{o_2, o_3\}$
  - **Merkle proof**  $\pi_4 = \{(*, acc(S_1)), \langle 5e, \langle 9a, h_{child_3} \rangle, \langle 7a, acc(S_4) \rangle, \langle 9b, acc(S_5) \rangle\}$
  - $\text{Prove}(R_{5e7a}, R_{5e9b}, \cap, pk) \rightarrow \{R, \pi_\cap\}$
  - $R = \{o_3\}, VO = \{\pi_4, \pi_\cap\}$
- Result verification
  - Re-construct SWA-Trie root using  $\pi_4$
  - Compare with  $AdsRoot$  in block header
  - $\text{Verify}(acc(S_4), acc(S_5), R, \cap, \pi_\cap)$

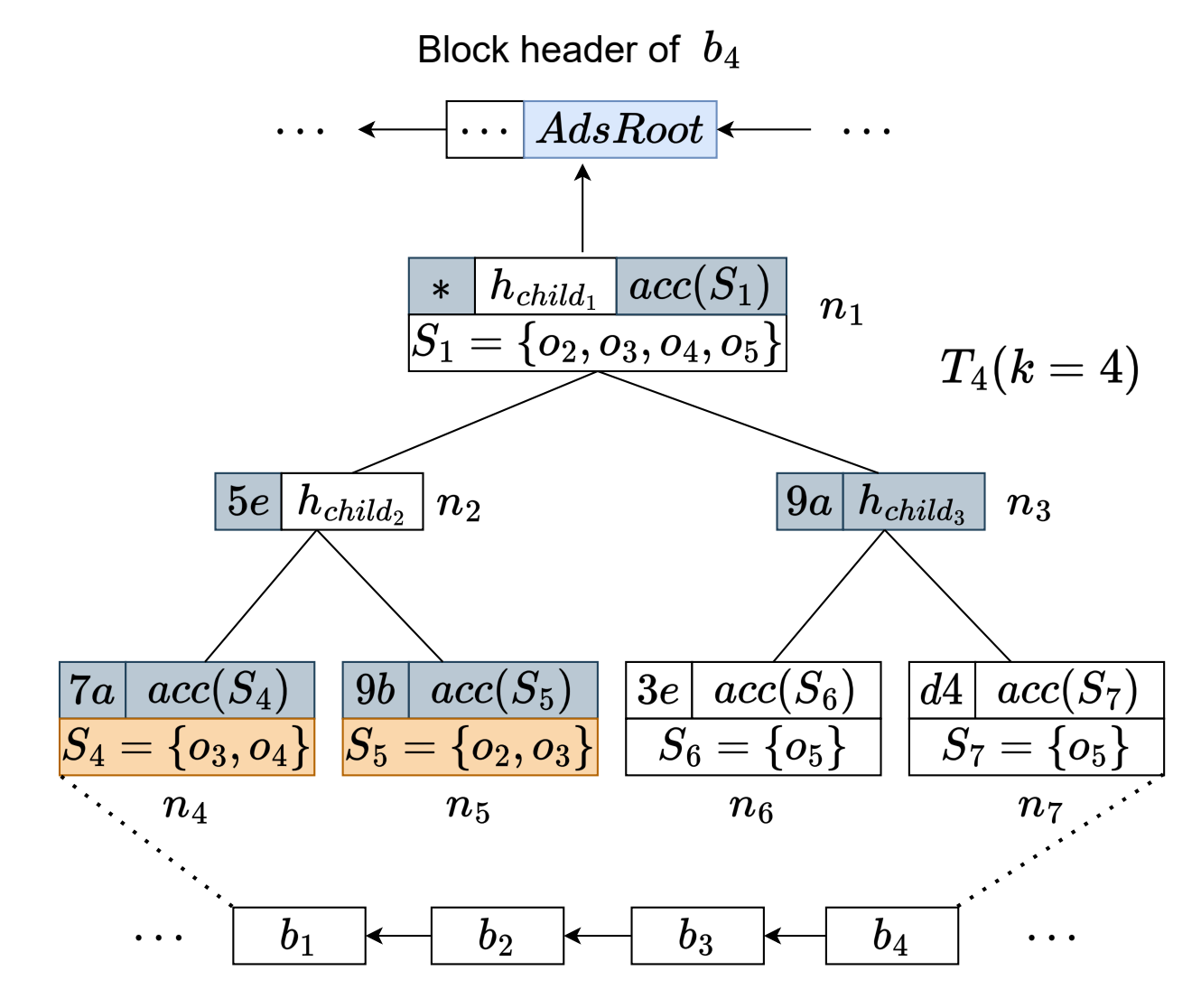


Fig. 6: Boolean query using SWA-Trie

## Extension to Other Queries

- Range query
  - SWA-B+-Tree for indexing numerical values for each dimension
  - B+-Tree search on each dimension to obtain intermediate result sets
  - Verifiable set intersections on intermediate results to get the final results
- Boolean range query
  - $\text{BooleanQuery}(Q_W) \rightarrow \langle R_W, \pi_W \rangle$
  - $\text{RangeQuery}(Q_V) \rightarrow \langle R_V, \pi_V \rangle$
  - $\text{Prove}(R_W, R_V, \cap, pk) \rightarrow \langle R, \pi_\cap \rangle$

## Optimization

- Multiple sliding windows
  - Build multiple SWA indexes with different  $k$  values and choose the best-fit  $k$  value when processing queries
- Optimize query plan
  - Find all equivalent plans and pick the one with the smallest cost
- Prune empty set
  - Apply early stop to prune unnecessary set operations

## vChain+: Object Registration

- **Issue:** the set accumulator requires a  $pk$  with size of  $O(|U|^2)$
- **Observation:** the SWA index is built over data objects
- **Idea:** register each object with an ID and store IDs in set accumulator
  - $\text{ID} = \text{counter} + + \pmod{\text{MaxId}}$ ;  $\text{MaxId}$ : max # objects within  $2k - 1$  blocks
  - $\text{ID} \in [0, \text{MaxId} - 1] \rightarrow |U| = \text{MaxId}$
- Build an **ObjReg index** to track the mapping between data objects and their IDs
  - A Merkle Hash Tree to retrieve authenticated data objects (as query results) with IDs

## Performance Evaluation

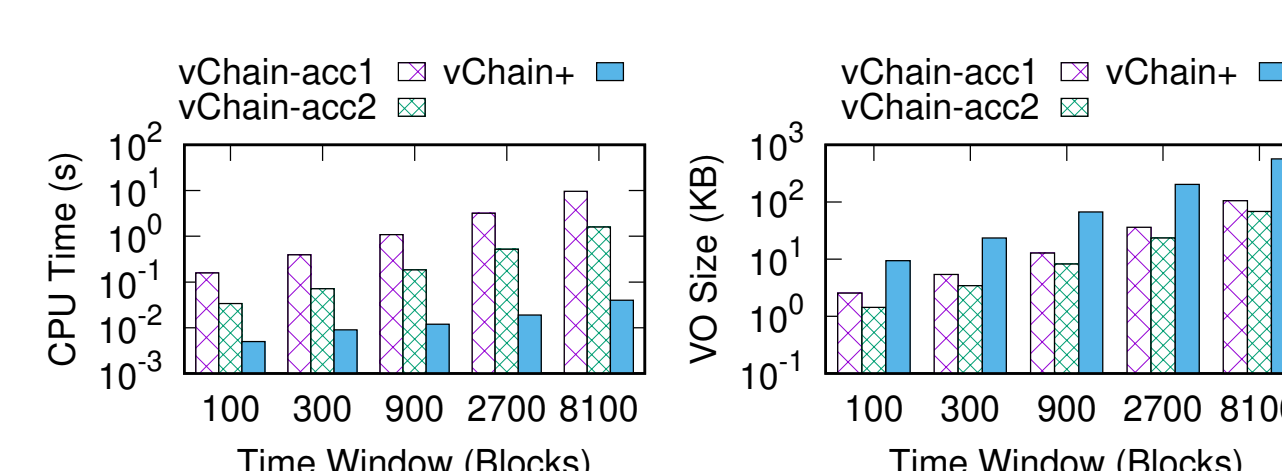


Fig. 7: Boolean Query Performance

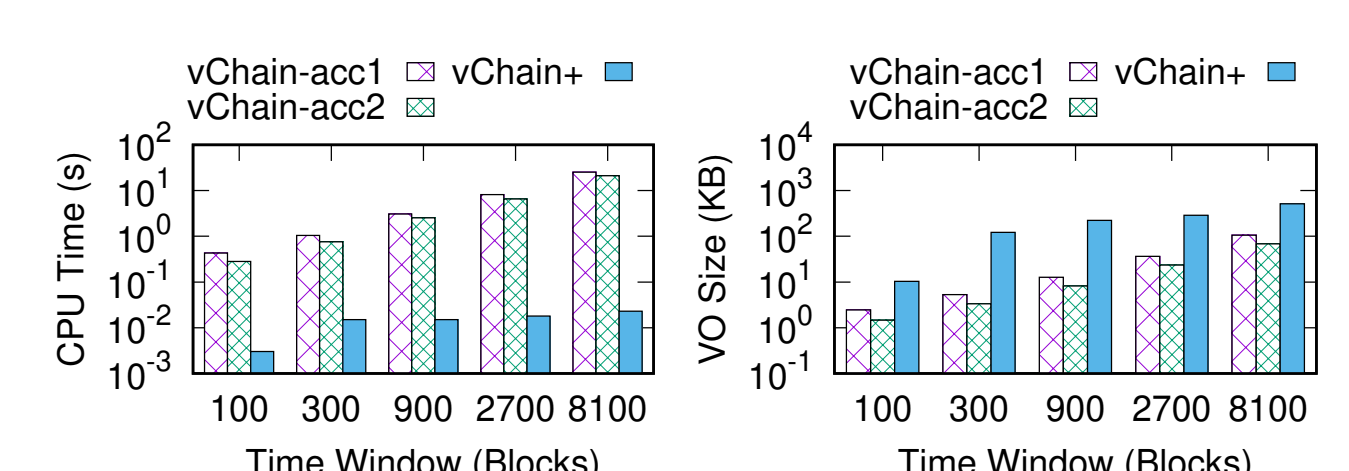


Fig. 8: Range Query Performance